MTEC-006/00US (0123.00US) Attorney Docket No.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In rea | pplication of | THEKKATH |
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Serial No.:

09/844,673

Examiner:

Unassigned

Confirmation No.:

8988

Art Unit:

2183

Filed:

April 30, 2001

For:

TRACE CONTROL BASED ON A CHARACTERISTIC OF A PROCESSOR'S

OPERATING STATE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT **TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

A return receipt postcard is also enclosed.

paper is being submitted in duplicate.

| [X] | In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required. | | | | | | | |
|-----|--|--|--|--|--|--|--|--|
| [] | In accordance with 37 C.F.R. §1.97(c), also enclosed is: | | | | | | | |
| | [] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or | | | | | | | |
| | [] a statement as specified in 37 C.F.R. §1.97(e). | | | | | | | |
| [] | In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed. | | | | | | | |
| | Check No in the amount of \$ for the total fee is attached. | | | | | | | |

Please charge \$__ to Deposit Account No. 03-3117 for the total fee. This

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[X]

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Attorney Docket No. MTEC-006/00US (0123.00US) Serial No. 09/844,673

Page 2

Please charge \$__ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: June 9 2003

Respectfully submitted, COOLEY GODWARD LLP

Cooley Godward LLP ATTN: Patent Group Five Palo Alto Square 3000 El Camino Real

Palo Alto, CA 94306-2155

Tel: (650) 843-5000 Fax: (650) 857-0663 By:

Edward Van Gieson Reg. No. 44,386

Attorney Docket No. MTEC-006/00US (0123.00US)

PATENT

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By: Dolores McKay

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of THEKKATH

Serial No.:

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Commissioner for Patents

P.O. Box 1450

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JUN 1 2 2003

Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- [X] Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- [] No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- [] Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. __ and mailed on ___.

Attorney Docket No. MTEC-006/00US (0123.00US) Serial No. 09/844,673 Page 2

| [] | of the | M.P.E.P., Applicant s | submits the at | ication(s) Pursuant to §609 tached foreign search or -English language publication(s). | | | | |
|--|--|--|-------------------|--|--|--|--|--|
| . [] | public | Enclosed is a copy of a non-English publication(s) English language publication (copy enclosed) claims priority from this non-English publication. | | | | | | |
| [] | | Enclosed is an explanation of non-English publication(s) for which an English translation is not available. | | | | | | |
| [] | | sed is an English trans attached Form PTO/S | | -English publication(s)cited | | | | |
| [] | Enclo | sed is a copy of pendi | ng patent App | olication Serial No | | | | |
| Th time perio | | tion Disclosure Statem | nent is filed w | rithin any one of the following | | | | |
| | [] | within three months other than a CPA un | | ng date of this national application . § 1.53(d); | | | | |
| | [] | | | e of entry of the national stage as ais international application; | | | | |
| | [X] | before the mailing d | ate of a first of | office action on the merits; or | | | | |
| | [] | _ | | e action after the filing of a n under 37 C.F.R. § 1.114. | | | | |
| It i | s respectfu | ally requested that the | Examiner cor | nsider the above-noted | | | | |
| informatio | on and retu | rn an initialed copy of | the attached | Form PTO/SB/08A to the | | | | |
| undersign | ed. | | | , | | | | |
| Dated: | Tunea | 2003 | | Respectfully submitted, COOLEY GODWARD LLP | | | | |
| ATTN: Pa Five Palo 3000 El C Palo Alto, | odward LL atent Group Alto Squar amino Rea CA 9430 | re ll | · By: | Edura | | | | |
| , , | 843-5000 857-0663 | | • | Edward Van Gieson Reg. No. 44,386 | | | | |

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| | shute for form 16 | | | Application Number | 09/844,673 | | | |
| INFORMATION DISCLOSURE | | | | Filing Date | April 30, 2001 | | | |
| STATEMENT BY APPLICANT | | | PPLICANT | First Named Inventor | THEKKATH | | | |
| | | | | Group Art Unit | 2183 | | | |
| | (use as many si | heets a. | s necessary) | Examiner Name | Unassigned | | | |
| Sheet | 1 | of | 2 | Attorney Docket Number | MTEC-006/00US (0123.00US) | | | |

| | U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|--------------------------|-------------|--------------------------------------|---|------------------------------|--|--|--|
| Examiner Initials* | | U.S. Patent | Document | | Date of Publication of Cited | | | |
| | Cite No. ¹ | Number | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Document MM-DD-YYYY | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| Examiner Cit No | Cite | F | Foreign Patent Document | | Name of Detented on Applicant of Cited | Date of Publication | | |
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¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

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| | | | | Filing Date | April 30, 2001 | | |
| INFORMATION DISCLOSURE | | | | First Named Inventor | THEKKATH | | |
| STATEMENT BY APPLICANT | | | PPLICANT | Group Art Unit | 2183 | | |
| (| (use as many | sheets a | s necessary) | Examiner Name | Unassigned | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | MTEC-006/00US (0123.00US) | | |

| | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | |
|--------------|--|---|
| Cite No.1 | magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| C1 | MIPS64 5Kc TM Processor Core Datasheet, Revision 1.7.4, pp1-40, December 14, 1999 | |
| C2 | 'MIPS64 5Kc TM Processor Core Datasheet, Revision 1.7.5, pp1-40, August 11, 2000 | |
| C3 | ³ MIPS64 5Kc TM Processor Core Datasheet, Revision 2.0, pp1-44, August 28, 2000 | |
| C4 | MIPS64 TM 5Kf TM Processor Core Datasheet, Revision 00.11, pp1-44, March 30, 2001 | |
| C5 | "MIPS64 5Kc TM Processor Core Software User's Manual, Revision 2.2, pp1-580, August 11, 2000 | |
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| | C1 C2 C3 | Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. C1 MIPS64 5Kc TM Processor Core Datasheet, Revision 1.7.4, pp1-40, December 14, 1999 C2 MIPS64 5Kc TM Processor Core Datasheet, Revision 1.7.5, pp1-40, August 11, 2000 C3 MIPS64 5Kc TM Processor Core Datasheet, Revision 2.0, pp1-44, August 28, 2000 C4 MIPS64 5Kf TM Processor Core Datasheet, Revision 00.11, pp1-44, March 30, 2001 |

| Examiner | · · · · · · · · · · · · · · · · · · · | Date | |
|-----------|---------------------------------------|------------|------|
| Signature | | Considered | |

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